# 12351 U.S. BARRY R. LIPSITZ DOGLAS M. McALLISTER

# LAW OFFICES BARRY R. LIPSITZ

BRADFORD GREEN, BUILDING 8 755 MAIN STREET MONROE, CONNECTICUT 06468

PATENTS, TRADEMARKS, COPYRIGHTS

00727 U.S. PTO 10/739886

TELEPHONE: (203)459-0200 FACSIMILE: (203)459-0201

#### MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# UTILITY PATENT APPLICATION TRANSMITTAL FILED UNDER 37 C.F.R. §1.53(b)

| • |   |
|---|---|
|   | ٠ |
|   |   |

Transmitted herewith for filing is the patent application of:

Inventor(s):

Robert Hornung whose address is: 25 Westway Road, Southport, CT 06490

and whose citizenship is:

**United States** 

Title: WINDOW SASH FRAME WITH HINGED COMPONENTS

# **APPLICATION ELEMENTS:**

| (1)<br>(2) | [X] Patent Application Specification, including Abstract and claims - 33 pages [X] Eight (8) sheet(s) of formal drawings, together with transmittal letter |  |   |  |  |
|------------|--|--|---|--|--|
| (3)        | [ ]  | A check in the amount of \$ to cover the [ ] filing fee(\$ .00) and/or [ Assignment Recordal Fee (\$40) is enclosed. | ] |  |  |
|            | []   | Before calculating the fee, cancel claim(s)  |   |  |  |
|            | [ ]  | Before calculating the fee, see copy of Preliminary Amendment filed in parent application (attached hereto.)         |   |  |  |

| Basic Fee                          |                                     |              |    |           |   |       | \$ | 770.00 |      |
|------------------------------------|-------------------------------------|--------------|----|-----------|---|-------|----|--------|------|
| Multiple Dependent Claims (\$260.) |                                     |              |    |           |   |       |    |        |      |
| Foreign La                         | Foreign Language Surcharge (\$130.) |              |    |           |   |       |    |        |      |
|                                    | For                                 | No.<br>Filed | -  | No. Extra |   | Rate  |    |        |      |
| EXTRA                              | Total Claims                        | 9            | 20 | 0         | х | \$18. | .= | \$     | 0.00 |
| CLAIMS                             | Independent<br>Claims               | 1            | 3  | 0         | х | \$86. | =  | \$     | 0.00 |
| TOTAL FILING FEE                   |                                     |              |    |           |   | =     | \$ | 770.00 |      |

MAIL STOP PATENT APPLICATION Commissioner for Patents IND-114.1 December 17, 2003 Page 2

| (4)  | []           | Declaration and Power of Attorney form  a. [ ] Newly executed  b. [ ] Copy from a prior application  c. [ ] Deletion of inventor(s) – signed statement attached deleting inventor(s)  named in the prior application.  |  |  |  |  |
|------|--------------|--|--|--|--|--|
| (5)  | [ ]          | Small Entity Status  |  |  |  |  |
| ACC  | <u>OMPA</u>  | NYING APPLICATION PARTS:   |  |  |  |  |
| (6)  | [ ]          | Assignment document  a. [ ] Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595  b. [ ] Copy from a prior application c. [X] Please include assignee information when this application is published Assignee: Sashlite, LLC 1175 Post Road East Westport, CT 06880 |  |  |  |  |
| (7)  | [ ]          | Preliminary Amendment  |  |  |  |  |
| (8)  | [ ]          | Certified Copy of Priority Document, together with separate transmittal letter   |  |  |  |  |
| (9)  | [ ]          | Information Disclosure Statement, together with PTO Form 1449 and copies of cited references   |  |  |  |  |
| (10) | [ <b>X</b> ] | Return receipt postage prepaid postcard  |  |  |  |  |
| (11) | [ X]         | Express Mail Certificate (Mailing Label No. EV 129898052 US)   |  |  |  |  |
| (12) | [X]          | Application Data Sheet   |  |  |  |  |
| (13) | [ ]          | Other:   |  |  |  |  |

MAIL STOP PATENT APPLICATION Commissioner for Patents IND-114.1 December 17, 2003 Page 3

| (14)                    | [X ]                  | If a <b>CONTINUING APPLICATION</b> , check appropriate box, and supply the requisite information below:  |   |  |  |  |  |
|-------------------------|-----------------------|--|---|--|--|--|--|
|                         |                       | X Continuation Divisional  | Continuation-in-part (CIP)  |  |  |  |  |
|                         |                       | of prior application no.: 10/004,112 file Prior application information:   |   |  |  |  |  |
|                         |                       | Examiner: Basil S. Katcheves  Status: Allowed  | Group/Art Unit: 3635  |  |  |  |  |
|                         | prior a consid and is | pplication, from which an oath or declara<br>ered a part of the disclosure of the accom  | LICATIONS only: The entire disclosure of the tion is supplied under paragraph 4(b) above, is panying continuation or divisional application corporation can only be relied upon when a submitted application parts. |  |  |  |  |
| (15)                    | [ ]                   | Please amend the specification by insert   | ting before the first line the sentence:  |  |  |  |  |
| (16)                    | [ ]                   | This application claims the benefit of   | Patent Application  |  |  |  |  |
| (17)                    | [X]                   | Correspondence address: Barry R. Lipsitz Law Offices of Barry R. Lipsitz 755 Main Street, Building 8 Monroe, CT 06468 Telephone: (203) 459-0200  | ·   |  |  |  |  |
| (18)                    | [X]                   | [X] Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicant respectfully requests that this application be assigned a serial number and a fill date of <u>December 17, 2003</u> , the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EV 129898052 US). |   |  |  |  |  |
|                         |                       |  | Respectfully submitted,   |  |  |  |  |
|                         |                       |  | Barry R. Lipsitz Attorney for Applicant(s)  |  |  |  |  |
|                         |                       | DOCKET NO.: IND-114.1  | Registration No. 28,637<br>755 Main Street, Bldg. 8   |  |  |  |  |
| Date: December 17, 2003 |                       |  | Monroe, CT 06468  |  |  |  |  |
|                         |                       |  | (203) 459-0200  |  |  |  |  |

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| 11. 1.1.2 01.1.1.20 01.1.1.20 11.1.1.21.1.1   | IN INCIDENTAL OFFICE   |
|---|--|
| In re Application of:   | )  |
| Robert Hornung  | )  |
| Filed: Herewith   | )  |
| For: WINDOW SASH FRAME WITH HINGED CO   | OMPONENTS  |
| MAIL STOP PATENT APPLICATION Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450  |  |
| EXPRESS MAIL C  | <u>TERTIFICATE</u>   |
| "Express Mail" mailing label number: EV 129898  Date of Deposit: December 1   | <del></del>  |
| I hereby certify that the attached:   |  |
| <ul> <li>[x] Return receipt postage prepaid postcard;</li> <li>[x] Transmittal letter for new patent application;</li> <li>[x] Application Data Sheet;</li> <li>[x] Patent Application Specification including Abst</li> <li>[x] Eight (8) sheet(s) of formal drawings, together</li> </ul> | ,  |
| are being deposited with the United States Postal Se service under 37 C.F.R. 1.10 and 1.53 on the date ind PATENT APPLICATION, Commissioner for Patent  | icated above and is addressed to: MAIL STOR  |
| Carol Prentice (Typed or printed name of person mailing paper or fee)   | (Signature of person mailing paper or fee)   |
| Date: December 17, 2003 ATTORNEY DOCKET NO.: IND-114.1  | Respectfully submitted,  Barry R. Lipsitz Attorney for Applicant(s) Registration No. 28,637 755 Main Street, Bldg. 8 |

Monroe, CT 06468 (203) 459-0200

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: | ) |
|-----------------------|---|
| Robert Hornung        | ) |
| Application No.:      | ) |
| Filed: Herewith       | ) |

For: WINDOW SASH FRAME WITH HINGED COMPONENTS

### DRAWING REVIEW BRANCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 129898052 US) addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 17, 2003.

By: Carol Prentice

## TRANSMITTAL OF FORMAL DRAWINGS

Dear Sir:

Enclosed are eight (8) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Date: December 17, 2003

ATTORNEY DOCKET NO.: IND-114.1

Respectfully submitted,

Barry R. Lipsitz

Attorney for Applicant(s) Registration No. 28,637

755 Main Street, Building 8

Monroe, CT 06468 (203) 459-0200